U.S. Securities and Exchange Commission

# Tips, Complaints, and Referrals

## Summary Page - After Submission

### This export was generated on Sun, June 15, 2025 at 08:52:03 PM EDT

The Complaint Form questions that you responded to, the answers you entered for those questions, and any documents that you have uploaded to this TCR are listed below.

### **Submission Number:** 17500-351-154-180

Thank you for contacting the United States Securities and Exchange Commission. This automated response with your Submission Number confirms that your submission has been received successfully. Please write down your Submission Number or print/save a copy of your submission for future reference. Once you navigate away from this page you will not be able to get back to your submission.

We are always interested in hearing from the public, and your submission will be given careful consideration in view of the Commission's overall responsibilities under the federal securities laws. Please note, however, that it is the Commission's policy to conduct its investigations on a non-public basis in order to preserve the integrity of its investigative process. Subject to the provisions of the Freedom of Information Act, we cannot disclose to you any information which we may gather, nor can we confirm the existence or non-existence of an investigation, unless such information is made a matter of public record in proceedings brought before the Commission or the courts. Therefore, this may be the only response that you receive. If you want to learn more about how the Commission handles inquiries or complaints, please visit http://www.sec.gov/complaint/info_tipscomplaint.shtml.

## What is your complaint about?

**Please select the option that best describes your complaint.**
Material misstatement or omission in a company's public filings or financial statements, or a failure to file

**Is this supplemental information to a previous complaint?**
No

**In your own words, describe the conduct or situation you are complaining about.**
⚠️ Step 1: What is your complaint about?

> I am reporting material misrepresentation, systemic safety failures, and unauthorized use of disclosed security vulnerabilities by Google LLC regarding its AI system Gemini.

Google:

Silently patched a critical vulnerability enabling unauthorized access to protected Android apps (Camera, YouTube, Spotify) through Gemini after I submitted a report — and later denied the issue existed.

Misclassified the issue as “intended behavior” despite it being escalated internally and subsequently patched.

Failed to disclose or compensate for my submitted vulnerabilities, constituting unjust enrichment and possible securities fraud.

Allowed Gemini to continue producing graphic content via prompt injection, including depictions of child harm, racialized sexual violence, and religious desecration, well after patch implementation.

Acted in contradiction to its public-facing claims on AI safety and alignment, possibly misleading investors regarding its AI risk posture and platform integrity.

These actions represent a failure to disclose material risk, violate standards of ethical corporate governance, and endanger both public safety and shareholder trust.

**Are you having or have you had difficulty getting access to your funds or securities?**
Yes

**Did you suffer a loss?**
Yes

**Enter amount of loss to nearest dollar without characters (e.g., 15000, not $15,000.00).**
300000

**When did you become aware of the conduct? (mm/dd/yyyy)**
04/15/2025

**When did the conduct begin? (mm/dd/yyyy)**
04/15/2025

**Is the conduct ongoing?**
Yes

**Has the individual or firm acknowledged the conduct?**
No

**How did you learn about the conduct? You may select more than one answer.**
Conversations, Internal business documents, Publicly available information

**Have you taken any action regarding your complaint? You may select more than one answer.**
Complained to firm, Complained to other regulator

**Provide details.**
I was a non-conventional cybersecurity researcher I don't have a doctorate in computer science I don't have a software engineering degree but I still uncovered three highly critical highly severe vulnerabilities within the Google Gemini AI system. I found out about the Google vulnerabilities and rewards program the vrp, and thus submitted the vulnerabilities with extreme detail including video screenshots reproducible instructions the works I abided by every single thing that they wanted in their reports I submitted on my reports and all three were fraudulently dismissed to avoid payout they were also silently patched which is you know against good faith good practice and detrimental to the security cybersecurity research community as a whole. Google thinks that because I am individual researcher independent and underrepresented I am native Hawaiian we are the least represented group in Tech that they could just steamroll me steal my vulnerability reports act on them without recognition or payout in good faith this is harmed me immensely I started the program with $0 in my bank account and I remain with $0 in my bank account despite reporting three vulnerabilities that they attempted to patch they were successful slightly in patching two of them but the third one the natural language prompt injection vector remains open meaning Gemini can still generate sexually explicit racist violent content that involves children and this can be proven. I've been screwed up out of money that they promised for valid reports and this isn't the first time they've done this to people apparently they've been doing it for a long time but nobody had to wear with all to stick with it and hold them accountable I guess or they got a settlement I'm forced to stick with option A because although I send them ultimatums to handle this in house they refuse to engage they stonewalled me I want them they didn't heed my warning so here I am.

## Who are you complaining about?

### Person or Firm 1

**Are you complaining about a person or a firm?**
Firm

**Select the title that best describes the firm the complaint is about.**
Publicly held company

**Are you or were you associated with the person or firm when the alleged conduct occurred?**
No

**Identifier Type**
Ticker Symbol

**Ticker Symbol**
GOOGL

**Are you a current or former Employee, Officer, Partner, or Employee Director of any entity you are complaining about?**
No

**Are you a current or former Non-Employee Director, Consultant, Contractor or Trustee of any entity you are complaining about?**
No

**Firm Name**
Google LLC (Parent Company: Alphabet Inc)

**Street Address**
1600 Amphitheatre Parkway

**Country**
United States

**Zip / Postal Code**
94043

**City**
Mountain View

**State / Province**
CA

**Website**
www.google.com

**If the complaint is about an entity or person that has custody or control of your investments, have you had difficulty contacting that entity or person?**
Yes

## Which investment products are involved?

**Select the type of product involved in your complaint.**
Other

**Please select the category that best describes the security product.**
Franchises and similar business ventures

**Enter the ticker symbol, if known.**
GOOGL

**Enter the product name(s), if known.**
Google Gemini (AI model series)

## About you

**Are you filing this tip under the SEC's whistleblower program?**
Yes

**Are you an attorney filling out this form on behalf of an anonymous whistleblower client who is seeking an award?**
No

**Title**
Mr

**First Name**
Barrett

**Middle Name**
KGK

**Last Name**
Maioho

**Street Address**
728 twin view drive

**Country**
United States

**Zip / Postal Code**
96817

**City**
HONOLULU

**State / Province**
HI

**Mobile Telephone**
1-808-376-1185

**Email Address**
bmaioho@gmail.com

**What is the best way to reach you?**
Email

**Are you represented by an attorney in connection with this matter, or would you like to provide your attorney's contact information?**
No

**Select the profession that best represents you.**
Other

**For Other, please specify.**
Independent Artist, Gemini Security Researcher (before retaliatory termination)

**Have you reported the matter at issue in this submission to your supervisor, compliance office, whistleblower hotline, ombudsman, or any other available mechanism for reporting possible violations at any entity you are complaining about?**
Yes

**If you answered "Yes," please provide details.**
I've tried to escalate within the review team to managers and supervisors, met with a closed loop with the same reviewer dismissing my appeals, tried even to email Sundar Pichai and Josh Woodward (CEO, and Gemini Team Lead) I have even tried to escalate with other departments basically any contact point that I could find within Google to resolve this internally and in good faith. I was stonewalled at every turn.

**Were you retaliated against for reporting the matter at issue in this submission either internally at the entity or to a regulator?**
Yes

**If you answered "Yes," please provide details.**
After they fraudulently dismissed all of my high severity high impact reports (after initially acknowledging ALL of them as valid abuse risks and escalating all in priority...) to dodge accountability and payout, I submitted a comprehensive report on misconduct and mishandling. They shortly and directly after this report, terminated my participation in the VRO citing no guidelines or policy infractions. They simply said thathi wasn't "contributing to the security of products" which is a slap in the face especially after they used MY reports to try make Gemini safer, clear unjust enrichment.

**Has anyone taken steps to prevent you from reporting this violation to the SEC?**
No

**Are documents or other information being submitted that could potentially identify the whistleblower?**
Yes

**Identify with particularity any documents or other information in your submission that you believe could reasonably be expected to reveal your identity.**
The vulnerability reports themselves along with the outside corroboration from the article in The Register derailing the silent tightening of safety guardrails (externally corroborates silent patching attempt from my natural language prompt injection report).

**Does the whistleblower want to be eligible to apply for a whistleblower award?**
Yes

**1. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer or employee of the Department of Justice; the Securities and Exchange Commission; the Comptroller of the Currency; the Board of Governors of the Federal Reserve System; the Federal Deposit Insurance Corporation; the Office of Thrift Supervision; the Public Company Accounting Oversight Board; any law enforcement organization; or any national securities exchange, registered securities association, registered clearing agency, or the Municipal Securities Rulemaking Board?**
No

**2. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer, or employee of a foreign government, any political subdivision, department, agency, or instrumentality of a foreign government, or any other foreign financial regulatory authority as that term is defined in Section 3(a)(52) of the Securities Exchange Act of 1934 (15 U.S.C. Section §78c(a)(52))?**
No

**3. Did you acquire the information being submitted to us through the performance of an engagement required under the federal securities laws by an independent public accountant?**
No

**4. Are you providing this information pursuant to a cooperation agreement with the SEC or another agency or organization?**
No

**5. Are you a spouse, parent, child, or sibling of a member or employee of the SEC, or do you reside in the same household as a member or employee of the SEC?**
No

**6. Have you or anyone representing you received any request, inquiry or demand that relates to the subject matter of your submission (i) from the SEC; (ii) in connection with an investigation, inspection or examination by the Public Company Accounting Oversight Board, or any self-regulatory organization; or (iii) in connection with an investigation by Congress, any other authority of the federal government, or a state Attorney General or securities regulatory authority?**
No

**7. Are you currently a subject or target of a criminal investigation, or have you been convicted of a criminal violation, in connection with the information you are submitting to the SEC?**
No

**8. Did you acquire the information being provided to us from any person described in Questions 1 through 7?**
No

**I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information, and belief. I fully understand that I may be subject to prosecution and ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a related action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.**
Agree

## Attach Files

**Upload Document(s)**

- *Master3.pdf* (3.52 MB)
- *Termination.PDF* (139.41 KB)
- *AttemptAtAppeal.PDF* (338.65 KB)
- *FraudulentlyDismissedRebuttal.PDF* (330.07 KB)
- *Misconduct Report No Appeals Dismissed As JAILBREAK.PDF* (212.65 KB)
- *Misconduct Report Wrongfully Dismissed As DUPLICATE.PDF* (232.02 KB)
- *NoAppealProcess.PDF* (254.35 KB)
- *Wrongful and Retaliatory Termination .PDF* (255.88 KB)
- *Screenshot_20250428_131126_Google~2 (1).jpg* (483.64 KB)
- *Church Assault 3 uncensored b.jpg* (444.21 KB)
- *Church assault one uncensored.jpg* (469.92 KB)
- *Church Assault 2 uncensored.jpg* (458.06 KB)
- *Church assault 2 uncensored (1).jpg* (480.07 KB)
- *Church Assault 3 uncensored a.jpg* (464.68 KB)
- *Church Assault 3 uncensored.jpg* (488.16 KB)
- *Screenshot_20250428_130655_Google~2.jpg* (461.92 KB)
- *Screenshot_20250428_130956_Google~2.jpg* (483.09 KB)
- *Screenshot_20250611_102650_Google~2.jpg* (379.44 KB)